Module 1 Summary:

• As a top-tier R1 research institution with many accomplishments, Northeastern University is committed to the highest ethical standards in all of our undertakings, including funded research and sponsored programs.

• Our Board of Trustees, executive leadership, academic deans, department heads and our non-academic division managers are committed to the highest ethical standards and to fostering a culture of excellence in all of our undertakings. Northeastern University’s NSF Award Compliance Program includes governance, policies and procedures, training, auditing and reporting, and mechanisms for raising compliance concerns that enhance our overall compliance programs.

• Northeastern’s investment and emphasis in collaborative, interdisciplinary research is creating new capabilities in emerging areas. As we pursue these advances, we must be mindful of the standards, requirements and regulations that accompany the funds that we are granted.

• The consequences of non-compliance to Northeastern University and/or the individual can be serious and severe.

• Compliance affects all stages of the Proposal–Award lifecycle.

• Compliance is a team effort, relying on effective communication between and among investigators and administrators; there are a variety of resources available to support you.

Relevant employees: Relevant employees are Principal Investigators, researchers, grants administrators and other Northeastern University employees who are responsible for the design, conduct, administration or other activity funded or supported by NSF awards.

Links and Resources:

• Code of Ethical Conduct
• Policy on Effort Reporting
• Policy on Costs for Sponsored Agreements
• Policy on Cost Sharing
• Policy on Use of University Funds
• Policy on Retention and Disposition of University Records
• Procedures on Subrecipient Monitoring
• Whistleblower Policy
• Northeastern Compliance Hotline
• NSF Compliance Officer

• The NSF Fraud Hotline at:
  o Telephone: 800-428-2189
Northeastern NSF AWARD COMPLIANCE TRAINING

- NSF Fraud Hotline Poster

- The Office of the Inspector General at:
  - Email: OIG@NSF.GOV

- NSF Award Compliance Program webpage
- Compliance Department
- Office of Research Administration and Finance