Compliance elements within the Proposal-Award Lifecycle:

Find Funding and Prepare Proposal
- Begin addressing budget development (e.g., cost principles)
- Consider regulatory approvals
  - Biosafety
  - Responsible Conduct of Research (RCR)
  - Financial Conflict of Interest (FCOI)
  - Etc.

Institutional Review and Submittal
- Grantee certifications and assurances
- Cost principles compliance (including committed cost share)

Sponsor Review; JIT Negotiation and Award Acceptance
- NSF Notice of Award; special terms and conditions
- Verification of compliance
  - Biosafety
  - Institutional Review Board (IRB)
  - Institutional Animal Care and Use Committee (IACUC)
  - Conflict of Interest (COI)
  - Etc.
- Confirmation of awarded budget
- Sub-awards issued

Award Fund/Account Setup
- Cost Principles
- Cost share approval documents
- Budget

Conduct Research and Manage Award
- Perform research and Project Management
- Fiscal management
- Monitor project performance
- Responsible Conduct of Research (RCR)
- Annual and Interim Reporting
- PI engagement / change

Award Renewal or Closeout
- Final financial reporting
Final technical/scientific reporting
Project Outcomes Report (POR)
Inventions
Property report
Property and equipment management
Cost sharing
Cost transfers / unallowable costs
Fund inactivation

Post Closeout
Data and record retention
Property disposal / sale
Final reporting

Resources:
- NSF Compliance Officer
- NSF Award Compliance Program webpage
- Compliance Department
- Office of Research Administration and Finance