

NORTHEASTERN UNIVERSITY STATEMENT ON EXPORT CONTROLS

The University engages in research that may involve the development or use of products, goods, hardware, software or materials or technology that may be subject to U.S. export control laws. The University is committed to full compliance with all applicable export control laws and regulations.

U.S. export control laws and regulations are complex and include lists of critical controlled technologies, materials, devices, and related information, as well as lists of sanctioned persons, countries and entities, which are maintained by the U.S. Department of Commerce (EAR), the U.S. Department of State (ITAR), and the U.S. Department of the Treasury (OFAC).

In general, export control regulations apply to:

- the transfer or “export” of specified materials, information, items or technology outside the U.S.;
- the disclosure of certain information to certain foreign nationals inside the U.S. (“deemed exports”);
- the training or offering of services involving controlled equipment or information to foreign nationals;
- the design or production of items related to defense services; and
- transactions with certain foreign countries or individuals who are on embargo or restricted lists.

The University’s Policy on Openness in Research is an important element of the University’s compliance with U.S. export control regulations. In many situations, the transfer of information that would otherwise be restricted by export controls will be exempted from regulation in the context of University research, as long as the research does not carry any restrictions on publication, disclosure or participation. Thus, careful review of and compliance with the University’s Policy on Openness is important. However, there are certain items, information and technologies involved in research that will be subject to export controls regardless of whether or not the research is freely publishable and unrestricted.

The following resources are available to help you understand export controls:

<http://www.research.neu.edu/exportcontrol/tutorial/>

If you have questions or concerns regarding export controls, please contact the Office of the Division of Sponsored Research Administration or the Vice Provost for Research.