

# Classical Hodge theory and the Decomposition theorem via Hodge theory

- 1) Hodge theory and Lefschetz linear algebra
- 2) Semismall maps and Hard Lefschetz theorem.
- 3) Intersection cohomology and Decomposition theorem

## 1) Hodge theory and Lefschetz linear algebra

Classical Hodge theory for smooth projective complex varieties starts with the Hodge decomposition:

$$H^i(X; \mathbb{C}) = \bigoplus_{p+q=i} H^{p,q}(X).$$

For our purpose, we will always assume that

$$H^{p,q}(X) = 0, \text{ when } p \neq q.$$

The structure of interest to us is the total cohomology

$$H = \bigoplus H^i(X; \mathbb{R}).$$

We start with the axiomatized setup: 2)

Fix:  $H = \bigoplus H^i$ : a finite dim graded  $\mathbb{R}$ -vector space.

$\langle -, - \rangle : H \times H \rightarrow \mathbb{R}$  a symmetric, non-degenerate, graded form,  $\langle H^i, H^j \rangle = 0$  if  $i \neq -j$

Hence, if  $b_i = \dim H^i$ , then  $b_i = b_{-i}$ ,  $\forall i \in \mathbb{Z}$ .

Example: If  $M$  is a compact manifold of dim  $2n$ ,

set  $H^i := H^{i+n}(M; \mathbb{R})$ . Let  $\langle -, - \rangle$  be

$$\langle w_1, w_2 \rangle = \int_M w_1 \wedge w_2.$$

If  $H^{2k+1}(M; \mathbb{R}) = 0$  for any  $k$ ,  $\langle -, - \rangle$  is symmetric.

A Lefschetz operator is a map  $L: H^k \rightarrow H^{k+2}$  s.t.

$$\langle Lx, y \rangle = \langle x, Ly \rangle \text{ for } \forall x, y \in H.$$

Example: With  $M$  as above, and  $\alpha \in H^2(M; \mathbb{R})$ ,

$\cdot \cup \alpha$  gives a Lefschetz operator.

Def. A Lefschetz operator  $L$  satisfies the hard Lefschetz theorem (hL), if  $L^i: H^{-i} \rightarrow H^i$  is an isomorphism for  $\forall i$ .

Exercise: Let  $\mathfrak{sl}_2(\mathbb{R}) = \mathbb{R}\langle f, h, e \rangle$ . A Lefschetz operator satisfies (hL)  $\Leftrightarrow \exists$  an action of  $\mathfrak{sl}_2(\mathbb{R})$  on  $H$  st.  $e = L$  and  $hx = i \cdot x$  for  $\forall x \in H^i$ . Moreover, this action is unique.

Example: If  $X \subset \mathbb{C}P^n$  is a smooth projective variety, then  $L = \cup c_1(\mathcal{O}(1))$  satisfies (hL).

If  $L$  satisfies (hL), then we have the primitive decomp

$$H = \bigoplus_{i \geq 0} \left( \underbrace{\bigoplus_{j \geq 0} L^j P_L^{-i}}_{\substack{\mathfrak{sl}_2 \\ \text{isotypic component}}} \right), \text{ where } P_L^{-i} = \ker L^{i+1} \subset H^{-i}$$

$\uparrow$   
 "lowest weight"

$\langle -, - \rangle$  pairs  $H^i$  and  $H^{-i}$ ,  $L^i$  identifies them.

Lefschetz form:  $(\alpha, \beta)_L^{-i} := \langle \alpha, L^i \beta \rangle$  (symmetric)

(hL)  $\Leftrightarrow$  non-degeneracy of  $(-, -)_L^{-i} \quad \forall i \geq 0$

Exercise:  $(L\alpha, L\beta)_L^{-i+2} = (\alpha, \beta)_L^{-i} \quad i \geq 2$

(hL):  $H^{-i} = P_L^{-i} \oplus LP_L^{-i-2} \oplus \dots$  is orthogonal w.r.t  $(-, -)_L$

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Hodge - Riemann bilinear relations: Assume  $H^{\text{odd}} = 0$  or  $H^{\text{even}} = 0$

Let  $\min$  be s.t.  $H^{\min} \neq 0$  but  $H^j = 0 \quad \forall j < \min$ .

$(H, \langle -, - \rangle, L)$  satisfies the Hodge - Riemann bilinear relations

(HR) if the restriction of  $(-, -)_L^{\min+2i}$  to  $P_L^{\min+2i}$  is

$(-1)^i$  - definite.

$$H^{\min+2i} \oplus = L^i P_L^{\min} \oplus L^{i-1} P_L^{\min+2} \oplus \dots \oplus P_L^{\min+2i} \quad (\text{orthogonal})$$

$$+ \quad - \quad \dots \quad (-1)^i \Rightarrow (\text{hL})$$

$$\Leftrightarrow \text{signature of } (-, -)_L^{\min+2i} = \sum_{i \geq 0, j \geq 0} (-1)^j \dim P_L^{\min+2j}$$

Example: See first part of Page 12

## 2) Semismall maps and the hard Lefschetz theorem

The reference is [dCM] "The hard Lefschetz theorem and the topology of semismall maps".

In this section, we always consider a ~~morphism~~ morphism  
projective

$$f: X \rightarrow Y$$

where  $X$  is smooth projective, and  $X, Y$  both irreducible.

Denote  $Y^k := \{y \in Y \mid \dim f^{-1}(y) = k\}$

Def: We say  $f: X \rightarrow Y$  is semismall, if

$$\dim Y^k + 2k \leq \dim X = n, \forall k.$$

Rmk: In this case,  $f$  is generically finite:  $\begin{cases} \text{For } k > 0 \\ \dim Y^k + k \leq n - k \\ \text{So } f^{-1}(Y^k) \neq X. \end{cases}$

Again, let  $H = \bigoplus H^i$ , where  $H^i := H^{n+i}(X; \mathbb{R})$ .

Now we consider the Lefschetz operator:

$$L = \cup c_1(f^*A), \text{ where } A \text{ is ample on } Y.$$

Thm (dC-M): Let  $f: X \rightarrow Y$ ,  $L$  be as above, assume that  $f$  is semismall. Then  $(H, L)$  satisfies (hL), (HR).

Example: See Page 12 - 13

To see why the semismall condition is relevant, consider a birational morphism  $f: X \rightarrow Y$  between 3-folds which contracts a surface  $S$  to a point. In this case,  $f$  is not semismall. Now  $L([S]) = [S] \cup f^*A \stackrel{\text{projection formula}}{\leq} 0$ , so ~~the~~ (hL) doesn't hold. Completely similar method shows that (hL) of  $L$  implies  $f$  is semismall.

[dCM]: Prop 2.2.7

dCM proof strategy:

(hL), (HR) in dim  $n$   $\xrightarrow{\text{weak Lefschetz}}$  (hL) in dim  $n+1$   $\xrightarrow{\text{limit lemma}}$  (HR) in dim  $(n+1)$

Key steps:

① Weak Lefschetz substitute: Suppose  $H, \langle -, - \rangle_H, L_H$ ,  
(wL)

$W, \langle -, - \rangle_W, L_W$  are as above, with  $L_H, L_W$  Lefschetz operators. Suppose  $\phi: H \rightarrow W$  of deg 1 st.

1)  $\phi$  injective in degrees  $\leq -1$ .

2)  $\langle \alpha, L_H \beta \rangle_H = \langle \phi \alpha, \phi \beta \rangle_W, \phi \circ L_H = L_W \circ \phi$ .

3)  $W$  satisfies (HR).

Then  $L_H$  satisfies (hL).

Pf: Fix  $0 \neq h \in H^{-i}$ , with  $i \leq -1$ , and consider

$\phi(h) \in W^{-i+1}$ . Then either:

1)  $0 \neq L^i(\phi(h)) = \phi(L^i(h)) \Rightarrow L^i h \neq 0$ , or

2)  $0 = L^i(\phi(h)) \Rightarrow \phi(h) \in P_L^{-i+1} \Rightarrow$

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$0 \neq (\phi(h), \phi(h))_L^{-i+1} = \langle \phi(h), L^{i-1} \phi(h) \rangle = \langle h, L^i h \rangle \quad \square$

② Limit lemma: Suppose that  $[0, \infty) \rightarrow \text{Hom}(H, H(z))$   
 $J \mapsto L_J$

is a continuous family of Lefschetz operators satisfying

(hL). If  $\exists J \in (0, \infty)$  st.  $L_J$  satisfies (HR), then all  $L_J$

satisfy (HR).

8)

Pf: All  $L_j$  satisfy (hL)  $\Leftrightarrow (-, -)_{L_j}^{-i}$  is a continuous family of symmetric non-degenerate forms.

Hence all have same signature. Hence all satisfy (HR).  $\square$

Sketch of Sketch of pf of Thm (dC-M):

When  $n=1$ ,  $L$  is defined by an ample divisor on  $X$ , so it follows from classical Hodge theory.

Assume (hL) & (HR) in dim  $n$ . In dim  $n+1$ ,

Prop 2.1.5 in [dCM] states that ~~we can find~~

~~$\alpha$~~  for a smooth divisor  $H \in |f^*A|$ , the restriction

$i^*: H^*(X; \mathbb{R}) \rightarrow H^*(H; \mathbb{R})$  puts us in the situation

of (wL) <sup>as in Key Step ①</sup>. Hence we have (hL) by induction.

For (HR), just note that  $f^*A$  is on the boundary is nef, so by Kleiman's thm, it



a)  
of the ample cone of  $X$ , so  $f^*A + \varepsilon B$  is ample,  
for any  ~~$\mathbb{R}$~~  ample  $B$  and  $0 < \varepsilon \ll 1$ . This puts us in the  
situation of limit lemma, and concludes (HR).  $\square$

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Warning: we never introduce (HR) in general. Our  
definition of (HR) is only for the case  $H^{p,q} = 0$  when  
 $p \neq q$ . This should be enough for our purpose.

3) Intersection cohomology and the Decomposition theorem. 10)


To any complex variety  $X$ , we consider the intersection cohomology group  $IH^\bullet(X)$  ( $\mathbb{R}$ -coefficients):

- (1)  $IH^\bullet(X)$  is a graded vector space, concentrated in degrees between 0 and  $2N$ , where  $N = \dim_{\mathbb{C}} X$ ;
- (2) If  $X$  is smooth, then  $IH^\bullet(X) = H^\bullet(X)$ ;
- (3) If  $X$  is projective, then  $IH^\bullet(X)$  is equipped with a non-degenerate Poincaré pairing  $\langle -, - \rangle$ , which is the usual Poincaré pairing for  $X$  smooth.

Cautions!

- (1)  $X \mapsto IH^\bullet(X)$  is not functorial: in general,  $f: X \rightarrow Y$  doesn't induce a pull-back on  $IH$ ;
- (2)  $IH^\bullet(X)$  is not a ring, but rather a module over the cohomology ring  $H^\bullet(X)$ .

Key properties when  $X$  is projective: (BBD, Saito, dCM)<sup>11)</sup>

(1) multiplication by  $c_1$  of an ample line bundle on  $\mathrm{IH}^i(X)$  satisfies the hard Lefschetz theorem; 

(2) the groups  $\mathrm{IH}^i(X)$  satisfy the Hodge - Riemann bilinear relations.

According to our convention, here we consider  $\mathrm{IH}^i(X)[-N]$ .

Also (2) should be applied only to the case of pure type

$(p, p)$ . We will not go through these issues though.

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The main theorem on  $\mathrm{IH}$  is the following:

Thm (Decomposition theorem) Let  $f: \tilde{X} \rightarrow X$  be a resolution, then  $\mathrm{IH}^i(X)$  is a direct summand of  $H^i(\tilde{X})$ , as modules over  $H^*(X)$ . (BBD, Saito, dCM)

We will not prove this theorem, but use it to compute one example. At the end, it will be clear how it's related to section 2) in the semismall case.

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Example:  $\text{Gr}(2,4)$   $\dim = 4$ .

Let  $0 \subset \mathbb{C} \subset \mathbb{C}^2 \subset \mathbb{C}^3 \subset \mathbb{C}^4$  be the standard coordinate flag on  $\mathbb{C}^4$ . For  $\underline{a} := \{0 = a_0 \leq a_1 \leq \dots \leq a_4 = 2\}$  with  $a_i \leq a_{i+1} \leq a_i + 1$ , consider

$$C_{\underline{a}} := \{V \in \text{Gr}(2,4) \mid \dim(V \cap \mathbb{C}^i) = a_i\}$$

It's easy to see that  $C_{\underline{a}} \cong \mathbb{C}^{d(\underline{a})}$ , where

$$d_{\underline{a}} = 7 - \sum_{i=0}^4 a_i.$$

This gives the cohomology table of  $\text{Gr}(2,4)$

0	2	4	6	8
$\mathbb{R}$	$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}$	$\mathbb{R}$

It can be checked the (HL) and (HR) via Schubert calculus.

Now let  $X := \{V \in \text{Gr}(2,4) \mid \dim(V \cap \mathbb{C}^2) \geq 1\}$

Then  $X = \overline{C_{\underline{a}}}$  where  $\underline{a} = \{0, 0, 1, 1, 2\}$ . Hence,  $X$

decomposes into  $\{0, 0, 1, 1, 2\}^6 + \{0, 0, 1, 2, 2\}^4 + \{0, 1, 1, 1, 2\}^4 + \{0, 1, 1, 2, 2\}^2 + \{0, 1, 2, 2, 2\}^0$ .

The cohomology of  $X$  are

0	2	4	6
$\mathbb{R}$	$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}$

$H^*(X)$  doesn't satisfy Poincaré duality or (hL).

$X$  has a unique singular point  $V_0 = \mathbb{C}^2$ . To construct a resolution of  $X$ , consider  $f: \tilde{X} \rightarrow X$ ,

$$\tilde{X} := \{(V, W) \in \text{Gr}(2, 4) \times \mathbb{P}^1(\mathbb{C}^2) \mid W \subseteq V \cap \mathbb{C}^2\}$$

and  $f(V, W) = V$ . Clearly  $f$  is an isomorphism over  $X \setminus \{V_0\}$ , and has fiber  $\mathbb{P}^1 = \mathbb{P}(\mathbb{C}^2)$  over  $V_0$ . The projection  $(V, W) \mapsto W$  realizes  $\tilde{X}$  as a  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$ . This gives us the cohomology table of  $\tilde{X}$ :

0	2	4	6
$\mathbb{R}$	$\mathbb{R}^2$	$\mathbb{R}^2$	$\mathbb{R}$

Claim:  $\text{IH}^*(X) = H^*(\tilde{X})$

14)  
pf: Clearly the pull-back morphism  $H^i(X) \rightarrow H^i(\tilde{X})$

is injective. The Decomposition theorem states that  $IH^i(X)$  is a summand of  $H^i(\tilde{X})$  (as  $H^i(X)$ -modules!), hence we now have  $IH^i(X) = H^i(\tilde{X})$  for  $i \neq 2$ .

Finally, we must have  $IH^2(X) = H^2(\tilde{X})$ , since  $IH^i(X)$  satisfies the Poincaré duality.  $\square$

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In this case, (hL) and (HR) for  $IH^i(X)$  are equivalent to those of  $H^i(\tilde{X})$  with  $f^* \mathcal{O}_X(1)$ . Note that  $f$  is semismall in our case, so this follows exactly from Thm(dCM) in Section 2).

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Rmk: A large part of this note is directly taken from a lecture note and a survey of Elias and Williamson.