

# Gorsky - 1

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Imprecise conjecture: Given a braid  $\beta$  on  $n$  strands, one can construct a sheaf (or a compl. of sheaves)  $\mathcal{F}_\beta$  on some algebraic variety  $\overline{FH}_n$  (flag Hilbert scheme)

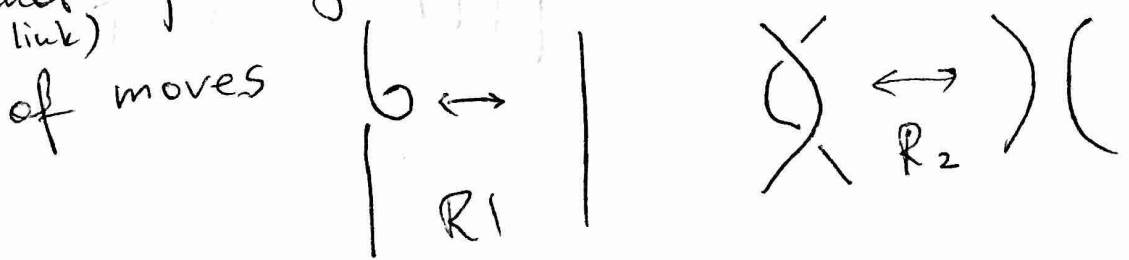
(Such that Khovanov-Rozansky homology of  $\beta$  = triply-graded vector space, topological invt of  $\beta$ , generalizes HOMFLY polynomial.)

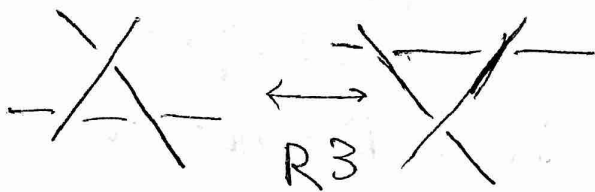
||  
sheaf cohomology of  $\mathcal{F}_\beta$ . ~~rather~~

Application: can compute RHS explicitly using algebraic geometry.

## 0. Reminder about knots & braids

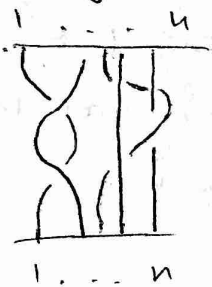
Th. (Reidemeister)  
Two diagrams represent the same knot (or link) if they're connected by a sequence of moves





Cor. Top. invt of a knot = a combinatorial invariant of a diagram, which does not change in R1-3.

Braid group: Generators  $\dots \left| \begin{array}{c} \diagdown \\ \diagup \end{array} \right| \dots = \sigma_i$



$\dots \left| \begin{array}{c} \diagup \\ \diagdown \end{array} \right| \dots = \sigma_i^{-1}$

generators.

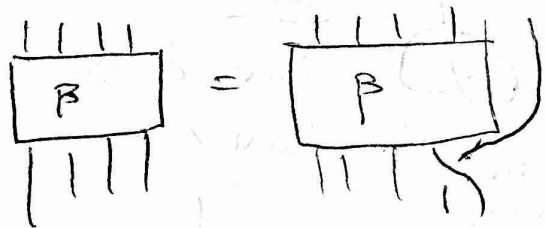
$$\sigma_i \sigma_i^{-1} = \sigma_i^{-1} \sigma_i = 1 \quad (R2)$$

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \quad (R3)$$

$$\sigma_i \sigma_j = \sigma_i \sigma_j \text{ if } |i-j| \geq 2.$$

Theorem (Alexander): every knot is a closure of some braid.

Theorem (Markov): two braids represent the same knot, if they are related by a sequence of moves  $\beta \leftrightarrow \alpha \beta \alpha^{-1}$ ,



# ① HOMFLY polynomial & Hecke algebra

$H_n =$  algebra w. generators  $T_1, \dots, T_{n-1}$  & relations  
 $(T_i - 1)(T_i + q) = 0, T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1},$   
 $T_i T_j = T_j T_i, |i - j| \geq 2.$

Facts: 1) There's a homomorphism  $\mathbb{C}[Br_n] \rightarrow H_n$

2) at  $q = 1$ , we get  $\mathbb{C}[S_n]$ .

Assume:  $q$  is a formal parameter.

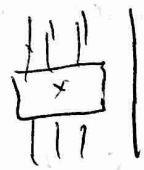
3)  $\dim H_n = n!$ , there's a basis  $T_w$ .

in  $H_n: w \in S_n, w = S_{i_1} \dots S_{i_n}$  - reduced expression.

$$T_w = T_{i_1} \dots T_{i_n}.$$

Thm (Ocneanu, Jones) There's a unique linear functional  $Tr_n: H_n \rightarrow \mathbb{C}(q, z)$  such that the following holds.

$$H_n \xrightarrow{i} H_{n+1}$$

(a)  $Tr(i(x)) = Tr(x)$    $= i(x)$

(b)  $Tr(ab) = Tr(ba)$

(c)  $Tr\left(\begin{array}{c} \text{||||} \\ \boxed{x} \\ \text{||||} \end{array}\right) = z Tr(x)$

(d)  $Tr(1) = 1.$

## Idea of proof:

Every element in  $H_{n+1}$  can be presented as  $x = \gamma_1 + \gamma_2 T_n \gamma_3$ , where  $\gamma_1, \gamma_2, \gamma_3 \in H_n$ .

In reduced expressions for  $w$ , we can assume that  $T_n$  appears at most once.

$$\begin{aligned} \text{Tr}(x) &= \text{Tr}(\gamma_1) + \text{Tr}(\gamma_2 T_n \gamma_3) = \text{Tr}(\gamma_1) + \text{Tr}(\gamma_3 \gamma_2 T_n) = \\ &= \text{Tr}(\gamma_1) + \text{Tr}(\gamma_3 \gamma_2). \end{aligned}$$

## Different pf #1:

$$V = \text{rep of } H_n. \quad \text{Tr}(x) := \text{Tr}(x|_V)$$

Fact.: Irred. reps of  $H_n$  are labeled by Young diagrams:  $V_\lambda$ ,  $|\lambda| = n$ .

$\text{Tr}(x) = \sum a_\lambda \text{Tr}(x|_{V_\lambda})$  - satisfies (b) automatically.

There's unique choice of  $a_\lambda$  s.t. (a-d) are satisfied:

$$a_\lambda = \prod_{\square \in \lambda} \frac{q^{x_\square} w - q^{y_\square} z}{1 - q^{h(\square)}}, \quad h(\square) = \text{hook length}$$
$$w = 1 - q + z.$$



Remark:  $a = \frac{w}{z}$  is more natural.

$$z = -\frac{1-q}{1-a}$$

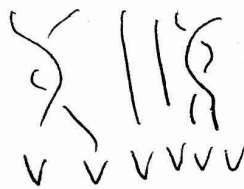
Different proof #2:

Reshetikhin-Turaev construction

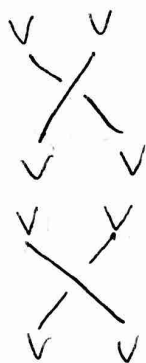
$V = \mathbb{C}^N \leftarrow$  representation of a quantum group

$U_q \mathfrak{gl}_N$ .

$$R: V \otimes V \rightarrow V \otimes V$$



- braid



$V \otimes V$

$\uparrow R$

$V \otimes V$

$V \otimes V$

$\uparrow R^{-1}$

$V \otimes V$

Satisfies braid relations.

$$V \otimes V \xrightarrow{R_\beta} V \otimes V, \quad \beta\text{-braid.}$$

$$\text{Tr}(R_\beta) = P_N(q)$$

Fact:  $P_N(q) \underset{\substack{\text{related} \\ \text{to}}}{\approx} \text{Tr}(q, a = q^N)$ .

Fact: (quantum Schur - Weyl duality).

$$V^{\otimes n} = (\mathbb{C}^N)^{\otimes n} = \bigoplus_{|\lambda|=n} V_\lambda \otimes \mathcal{U}_\lambda$$

$\downarrow$  irrep of  $U_n$        $\downarrow$  rep. of  $U_q \mathfrak{gl}_N$

$R_\beta$  acts in  $V_\lambda$  and preserves  $\mathcal{U}_\lambda$

$$\text{Tr}(R_\beta) = \sum_\lambda \text{Tr}(\beta|_{V_\lambda}) \cdot \dim_q \mathcal{U}_\lambda$$

same as  $a_\lambda$  above, given by the product formula.

Recall ~~the~~ that:

(a)  $\text{Tr} \left( \begin{array}{c} | \\ | \\ \boxed{x} \\ | \\ | \end{array} \right) = \text{Tr} \left( \begin{array}{c} | \\ | \\ \boxed{x} \\ | \\ | \end{array} \right) \neq$

(c)  $\text{Tr} \left( \begin{array}{c} | \\ | \\ | \\ \boxed{x} \\ | \\ | \end{array} \right) = \text{Tr} \left( \begin{array}{c} | \\ | \\ \boxed{x} \\ | \\ | \end{array} \right) \neq$

(d)  $\text{Tr}(1) = \text{Tr}(1)$

(b)  $\text{Tr}(ab) = \text{Tr}(ba)$ .

$P(\beta) = c_1' c_2^{w(\beta)} \text{Tr}(\beta)$

depends on # of strands

$w(\beta): \text{Br}_n \rightarrow \mathbb{Z}$

$\sigma_i \mapsto 1$

$\sigma_i^{-1} \mapsto -1.$

$$c_1 = \left( -\frac{1-a}{(1-q)\sqrt{a}} \right)^{n-1}$$

$$c_2 = \sqrt{a}$$

## HOMFLY homology

$(T_i - 1)(T_i + q) = 0 \Leftrightarrow T_i$  has eigenvalues  $1, -q$ .

$b_i = T_i + q$ , has eigenvalues  $1+q, 0$ .

$$b_i^2 = (1+q)b_i.$$

Exercise: Braid relations for  $T_i \Leftrightarrow b_i b_{i+1} b_i - q b_i =$

$$= b_{i+1} b_i b_{i+1} - q b_{i+1}.$$

There's an element  $b_{i,i+1}$  such that

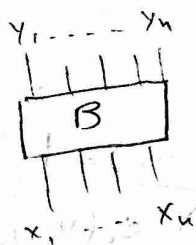
$$\boxed{b_i b_{i+1} b_i = q b_i + b_{i,i+1}} \quad \text{and} \quad \boxed{b_{i+1} b_i b_{i+1} = q b_{i+1} + b_{i,i+1}}$$

Rmk:  $b_i, b_{i,i+1}$  are related to Kazhdan-Lusztig basis.

$$R = \mathbb{C}[x_1, \dots, x_n]$$

We will consider  $R$ - $R$  bimodules.

modules over  $\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$ .



There is a natural tensor product on bimodules:  $B_1 \otimes_{\mathbb{C}[Y]} B_2 = B_1 \otimes_{\mathbb{C}[Y]} B_2$

$B_1 = \mathbb{C}[x, y]$ -module,  $B_2 = \mathbb{C}[y, z]$ -module.

$$B_i = \frac{\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]}{\begin{pmatrix} x_i + x_{i+1} = y_i + y_{i+1} \\ x_i x_{i+1} = y_i y_{i+1} \\ x_j = y_j \text{ for } j \neq i, i+1 \end{pmatrix}} = R \otimes_{R^{S_2}} R$$

$S_2$  permutes  $x_i$  and  $x_{i+1}$ .

Lemma:

$$B_i \otimes B_i \simeq B_i \oplus B_i[1] \quad \text{graded shift}$$

(categorification of  $b_i^2 = (1+q)b_i$ ).

Rmk. Common notation

$$B_i = \begin{array}{ccccccc} | & | & | & \times & | & | & | \\ & & & i \quad i+1 & & & \end{array}$$

Idea of proof: WLOG, can assume

$$n=2, \quad x_1 + x_2 = y_1 + y_2 = 0.$$

$$B = \frac{\mathbb{C}[x, y]}{(x^2 = y^2)}$$

$$B \otimes B = \frac{\mathbb{C}[x, y, z]}{x^2 = y^2 = z^2} \quad \text{as a } \mathbb{C}[x, z] \text{ module}$$

$$\frac{\mathbb{C}[x, z]}{x^2 = z^2} \oplus \frac{\mathbb{C}[x, z]}{x^2 = z^2}.$$

$$\text{Lemma: } B_{i, i+1} = R \otimes_{R^{S_3}} R = \frac{\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]}{\begin{pmatrix} e_i(x_i, x_{i+1}, x_{i+2}) = e_i(y_i, y_{i+1}, y_{i+2}) \\ x_j = y_j, \quad j \neq i, i+1 \end{pmatrix}}$$

$S_3$  permutes  $x_i, x_{i+1}, x_{i+2}$

$$\text{Then } B_i \otimes B_{i+1} \otimes B_i = B_{i, i+1} \oplus B_i[1]$$



As a result,  $B_i$  categorify  $b_i$ .

Def. Bott-Samelson bimodule is an arbitrary product  $B_{i_1} \otimes B_{i_2} \otimes \dots \otimes B_{i_k}$ .  
Indec. Soergel bimodule is a direct summand of a BS bimodule.

Ex.  $B_i, B_{i+1}, \dots$

Category of Soergel bimodules: additive category generated by direct sums of indec. Soergel bimodules with grading shifts. ( $SBim_n$ )

Facts: 1) tensor product of Soergel bimodules is a Soergel bimodule.  
2) (Soergel) There are exactly  $n!$  indecomposable Soergel bimodules. (up to a shift).

Ex.  $n=2$ :  $R = \text{identity bimodule}$ ,  $\mathbb{C}[x_1, x_2, y_1, y_2]$ ,  $B_1$ ,  $x_i = y_i$   
 $n=3$ :  $R, B_1, B_2, B_1 \otimes B_2, B_2 \otimes B_1, B_{1,2}$ .

3) (Soergel)  $K$ -split graded Grothendieck group ( $SBim_n$ ) is isomorphic to  $H_n$ .

$K$ -split graded Groth. group:

$\text{Genus} = [\text{isom. classes of S. bim}]$

Relations:  $[A \oplus B] = [A] + [B]$ .

$[A[1]] = q[A]$ .

(4) Indecomposable  $S$ -bimodules categorify the KL basis in  $H_n$ .

What about  $T_i$ ?

$$T_i = b_i - q; \quad T_i^{-1} = q^{-1}(b_i - 1) \quad \text{in } H_n.$$

Rouquier complexes:

idea: interpret "-" as a homological shift.

$$[R[1] \rightarrow B_i] \quad T_i$$

$$B_i \cong \mathbb{1}[i]$$

$$[B_i \rightarrow R] \quad T_i^{-1}$$

$$\frac{\mathbb{C}[x, y]}{x^2 = y^2} \rightarrow \frac{\mathbb{C}[x, y]}{x = y}$$

$$\varphi = (x_i - x_{i+1}) + (y_i - y_{i+1})$$

Exercise:  $\varphi$  is a correct ~~map~~ morphism of bimodules.

Thm (Rouquier)

$T_i, T_i^{-1}$  satisfy braid relations up to a homotopy

$$T_i T_i^{-1} \simeq T_i^{-1} T_i \simeq R$$

$$T_i T_{i+1} T_i \simeq T_{i+1} T_i T_{i+1}, \quad T_i T_j = T_j T_i, \quad |i-j| \geq 2.$$

$$T_i T_i^{-1} = [B_i \rightarrow R] \otimes [R[1] \rightarrow B_i] [-1]$$

$$= [B_i[-1] \rightarrow \begin{matrix} B_i^2 \\ \oplus \\ R[1] \end{matrix} \rightarrow B_i] [-1] \simeq R.$$

using the relation  $B_i^2 = B_i \oplus B_i[1]$ .

For every braid  $\beta$  one can construct a complex of Soergel bimodules, which is well-defined up to a homotopy, which categorifies the projection of  $\beta$  to  $H_n$ .

Theorem (Khovanov)  $\dots \rightarrow M_i \rightarrow M_{i+1} \rightarrow \dots$  complex of ~~Soergel~~ Soergel bimodules.

$$H^\bullet [ \dots \rightarrow R\text{Hom}(R, M_i) \rightarrow R\text{Hom}(R, M_{i+1}) \rightarrow \dots ]$$

is a knot invariant, categorifies  $\text{Tr}$ .

Rmk: this agrees with an earlier construction of Khovanov-Rozansky, ~~which agrees~~ through matrix factorizations.

$$M \otimes R = M \otimes \frac{\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]}{x_i = y_i}$$

$M \otimes R =$  ~~same~~ same, but replace  $R$  by a free  $R$ - $R$ -resolution.

Resolution of  $R$  by  $R$ - $R$ -bimodules:

$$\begin{array}{c} \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \xleftarrow{x_i - y_i} \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \\ \quad \quad \quad \quad \quad \quad \quad \quad \oplus \\ \quad \quad \quad \quad \quad \quad \quad \quad \vdots \\ \quad \quad \quad \quad \quad \quad \quad \quad \oplus \\ \mathbb{C}[x, y] \leftarrow \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] \leftarrow \Lambda^2 \mathbb{C}^n \otimes \mathbb{C}[x, y] \\ \quad \quad \quad \quad \quad \quad \quad \quad \parallel \\ \quad \quad \quad \quad \quad \quad \quad \quad \Lambda^1 \mathbb{C}^n \otimes \mathbb{C}[x, y] \end{array}$$

Koszul complex for the sequence  $(x_i - y_i)$ .

## Gradings:

RHom grading  $\leftrightarrow$  a-grading.

internal grading in  $M_i \leftrightarrow$  q-grading.

homological grading in  $M_i \leftrightarrow$  t-grading.

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Another picture:

Jones / Temperley-Lieb /  $sl_2$  - Khovanov

$$B_i \sim \begin{array}{c} \cup \\ \cap \end{array}$$

$$T_i = \left[ \begin{array}{c} \cup \\ \cap \end{array} \rightarrow \right] \left[ \begin{array}{c} \cup \\ \cap \end{array} \right]$$

$$B_i B_{i+1} B_i = \begin{array}{c} \cup \\ \cap \\ \cup \\ \cap \end{array} = B_i$$

$$B_{i,i+1} = 0!$$